

B210A (Form 210A) (12/09)

IN THE UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

Case No. 08-13555

**PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the partial transfer, other than for security, of an undivided interest in the claim referenced in this evidence and notice.

Empyrean Investments, LLC

Name of Transferee

Citigroup Financial Products Inc.

Name of Transferor

Name and Address where notices to transferee should be sent:

Attn: Sterling Hathaway  
10250 Constellation Blvd. Suite 2950  
Los Angeles, CA 90067  
T: 310-843-3070  
F: 310-843-4915  
e: operations@empyrean.com

Court Claim # (if known): 28922

Amount of Claim Transferred: \$2,096,617.00

ISIN/CUSIP:

Blocking Number:

Name and address where transferee payments should be sent (if different from above):

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Date: May 31, 2018

By: 

Transferee/Transferee's Agent

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years or both.  
18 U.S.C. §§ 152 & 3571.

Doc#, US1:12053793v5

EXHIBIT A

EVIDENCE OF TRANSFER OF CLAIMS

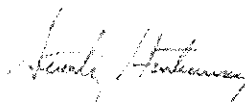
TO: Clerk, United States Bankruptcy Court, Southern District of New York

**Citigroup Financial Products Inc.** ("Seller"), pursuant to the terms of an Assignment of Claim Agreement dated on or about the date hereof, does hereby certify that Seller has unconditionally and irrevocably sold, transferred and assigned to **Empyrean Investments, LLC**, its successors and assigns ("Buyer"), all rights, title and interest in and to the Claim of Seller against **Lehman Brothers Special Financing Inc.** (Claim No.: **28922**) in the amount of **\$2,096,617.00** (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555 (jointly administered) (SCC).

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this Evidence of Transfer of Claims as an assignment and Buyer herein as the valid owner of the Assigned Rights to the extent of the Transferred Claims Amount. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Assigned Rights to Buyer.

IN WITNESS WHEREOF, the undersigned have duly executed this Evidence of Transfer of Claims by their duly authorized representatives as of the 31<sup>st</sup> day of May, 2018.

**Empyrean Investments, LLC**

By:   
Name: Sterling Hathaway  
Title: Director of Operations

**Citigroup Financial Products Inc.**

By: \_\_\_\_\_  
Name:  
Title:

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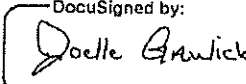
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**Empyrean Investments, LLC**

By: \_\_\_\_\_  
Name: Sterling Hathaway  
Title: Director of Operations

**Citigroup Financial Products Inc.**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

DocuSigned by:  
  
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Joelle Gavlick - Authorized Signatory